

Re: Attorney Docket No. Baumgarte 1-6-8



PATENT

In re application of: Frank Baumgarte, Jianshu Chen, and Christof Faller

Serial No.: <u>10/045,458</u>

Filed: 11/07/01 Matter No.: 992.1013 Group No.: <u>2644</u>

Examiner: Not yet assigned Phone No.: Not yet assigned

For: Backwards-Compatible Perceptual Coding of Spatial Cues

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

NOV 0 4 2003

Technology Center 2600

Dear Sir:

In accordance with 37 CFR § 1.56, this is an Information Disclosure Statement (IDS) for the above-captioned U.S. patent application. An IDS Form PTO-1449 listing references is enclosed herewith and forms a part hereof.

Certification Under 37 CFR § 1.704(d)

[] Each item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of this statement.

Certification Under 37 CFR § 1.97(e)

[X] Each item of information contained in this statement was either (1) cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement or (2) not known to any individual designated in § 1.56(c) more than three months prior to the filing of this statement.

Certification Under 37 CFR 1.8

Date of Deposit <u>10/28/03</u>

I hereby certify that this paper and any accompanying papers or fees are being deposited with the U.S. Postal Service with sufficient postage as first class mail under 37 CFR 1.8 on the date indicated above and addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Gerry Marrero

(Name of person mailing)

Signature of person mailing)

Fee U	nder 37 CFR § 1.97(c)						
[]	The fee for submission of this IDS under § 1.97(c) is \$180.00 as set forth in § 1.17(p).						
Petitio	on Under 37 CFR § 1.97(d)						
[]	This is a petition requesting consideration of an IDS under § 1.97(d). The petition fee for submission of this IDS under § 1.97(d) is \$130.00 as set forth in § 1.17(i).						
Provis	sion of Copies of References						
[X]	A copy of each of the listed references is enclosed herewith and forms a part hereof.						
	[] A translation of each of Reference(s) is enclosed herewith and forms a part hereof.						
[]	A copy of the International Search Report from a corresponding PCT application is enclosed herewith.						
[X]	A copy of the European Search Report from a corresponding EPO application is enclosed herewith.						
[]	The above-captioned U.S. patent application is a [] continuation [] divisional [] continuation-in-part						
	application of U.S. application serial number, filed on as Attorney Docket No Each of the listed references was previously cited by or submitted to the Office in the prior application. The above-captioned U.S. patent application relies upon the prior application for an earlier filing date under 35 U.S.C. 120. Pursuant to § 1.98(d), copies of the listed references are not being provided with this IDS.						
Autho	rization To Charge Deposit Account						
[X]	Authorization is given to charge Mendelsohn & Associates , P.C. Deposit Account No. 50-078 ? for any fee under § 1.97(c) and § 1.17(p) or under § 1.97(d) and § 1.17(i).						
form a	It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449 as confirmation that each of the documents has been considered.						
	Respectfully submitted,						
Date:	10/28/03 Itue Mardelon						

Enclosures

Date: 10 28 03 Customer No. 22186

Mendelsohn & Associates, P.C.

1515 Market Street, Suite 715 Philadelphia, Pennsylvania 19102

Steve Mendelsohn Registration No. 35,951 Attorney for Applicant (215) 557-6657

, 3	· •							Sheet <u>1</u> of <u>1</u>		
FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (Rev. 2-32) PATENT AND TRADEMARK OFFICE					ATTY. DO	CKET NO.		SERIAL NO.		
OIPE	INFOR	MATION DISCLOSURE MENT BY APPLICANT		Baumgarte 1-6-8 APPLICANT			10/045,458			
(Usi	C) e e ve	MENT BY APPLICANT	sary)		Frank Bar FILING D	umgarte, ATE	Jiashu Che	n, and Christ GROUP	of Faller	
OCT 3 1 2003	-#-			118 12	11/07/01 TENT DOCUMENTS			2644		
EXAMINER INITIAL BADENA		DOCUMENT NUMBER	DATE		NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE		
	BA	5,583,962	12/1996	Davis et al.		395	2.38			
	ВВ	5,703,999	12/1997	Herre	et al.	395	2.12			
									EWED	
							HEU	RECEIVED		
			ļ					NOV 0 4 2003		
					····			Technology Center 2600		
	FOREIGN PATENT DOCUMENTS									
		DOCUMENT NUMBER	DATE	ļ	COUNTRY	CLASS SUBO	SUBCLASS	TRANSLATION YES NO		
										
		<u></u>					<u> </u>			
		0	THER DOCUME	NTS (Inclu	ding Author, Title, Date	Pertinent Pa	zes Etc.)			
				•			,			
								···		
			- ·							
EXAMINER	·				DATE COM	IDEDER				
SAMMUNEK			· · · · ·		DATE CONS	IDEKED				

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Form PTO-1449)